

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|--|--|--|--|--|---|--|--|--|
| TRANSMITTAL FORM | | | Application Number | | 09/294,341 | | | |
| | | | Filing Date | <u>.</u> . | April 20, 1999 | | | |
| FORIVI (to be used for all correspondence after initial filing) | | | First Named Inventor | | Masaaki HIROKI | | | |
| | | | Group Art Unit | | 2674 | | | |
| | | | Examiner Name | | A. Zamani | | | |
| Total Number of Pages in This Submission | | | Attorney Docket Number | | 740756-1964 | | | |
| ENCLOSURES (check all that apply) | | | | | | | | |
| l de la companya del companya de la companya del companya de la co | | , - | ent Papers | _ | After Allowance Communication to Group Notice of Appeal | | | |
| Fee Attached | Fee Attached Draw | | | | | | | |
| Amendment / Reply | Amendment / Reply | | Licensing-related Papers | | Tect - | | | |
| After Final | | Petition | | | | | | |
| Affidavits/declaration(s) | | Petition to Convert to a Provisional Application | | RECEIVEL DEC 0 7 2001 nnology Center 2 | | | | |
| Extension of Time Request | | Power of Attorney, Revocation | | | EIV 7 2 Cent | | | |
| Express Abandonment Request | | Change of Correspondence Address | | | RECEIVED DEC 0 7 2001 echnology Center 2600 | | | |
| ☐ Information Disclosure Statement | | Terminal Disclaimer | | | 500 | | | |
| Certified Copy of Priority | | Request for Refund | | | | | | |
| Document(s) | | CD, Nur | mber of CD(s) | | | | | |
| Response to Missing Parts/ Incomplete Application | | Remarks | The Commissioner is hereby authorized to charge any additional fees | | | | | |
| Response to Missing Parts | | | required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number. | | | | | |
| under 37 CFR 1.52 or 1.53 | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | |
| Firm | | binson, Reg. | No. 38,285 | | | | | |
| or Individual name | Nixon Peabody LLP | | | | | | | |
| | ndividual name 8180 Greensboro Drive Suite 800 | | | | | | | |
| | McLean, VA 22012 | | | | | | | |
| Signature | 3.4. | | | | | | | |
| Date | December 5, 2001 | | | | | | | |
| CERTIFICATE OF MAILING | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: | | | | | | | | |
| Type or printed name | | | | | - | | | |
| Signature | | | | Г | Date | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

Complete if Known on Number 09/294,341 FEE TRANSMITTAL Filing Date April 20, 1999 **FOR FY 2001** First Named Inventor Masaaki HIROKI Examiner Name A. ZAMANI Patent fees are subject to annual revision. Group Art Unit 2674

| TOTAL AMOUNT OF PAYMENT (\$) 1,240.00 | | Attorney Docket No. | | 0. | 740756-1964 | 77 [11] |
|--|---------|---------------------|----------------|---------------|--|------------------|
| METHOD OF PAYMENT | | | | | FEE CALCULATION (continued) | VI 20 |
| The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | 3. ADDITIONAL FEES | | FEE | FEE CALCULATION (continued) | 01 |
| | | Large | | Small | . 60 | 0 |
| Deposit Account 19-2380 | | Entity Fee | y Fee | Entity Fee | 0 | |
| | | (\$) | Code | (\$) | Fee Description | Fee Paid |
| Number | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| Deposit Account Name Nixon Peabody LLP | | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | | 130 | 139 | 130 | Non-English transaction | |
| | | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | ,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| Applicant claims small entity status. See 37 CFR 1.27 | | 110 | 215 | 55 | Extension for reply within first month | |
| 2. E Payment Enclosed: | | 400 | 200 | 200 | Extension for reply within second month | |
| Check Credit Card Money Other | 117 | 920 | 460 | 460 | Extension for reply within third month | \$920.00 |
| Order | 118 | 1,440 | 720 | 720 | Extension for reply within fourth month | |
| FEE CALCULATION | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 1. BASIC FILING FEE | 119 | 320 | 219 | 160 | Notice of Appeal | \$320.00 |
| Large Entity Small Entity | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 101 740 201 370 Utility filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| . 106 330 206 165 Design filing fee | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 107 510 207 255 Plant filing fee | 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | |
| 108 740 208 370 Reissue filing fee | | 1,280 | 242 | | Utility issue fee (or reissue) | |
| 114 160 214 80 Provisional filing fee | 143 | 460 | 243 | | Design issue fee | |
| | 144 | 620 130 | 244 122 | | Plant issue fee Petitions to the Commissioner | |
| SUBTOTAL (1) (\$) | 122 | | | | | |
| 2. EXTRA CLAIM FEES | 123 | 50 | 123 | | Processing fee under 37 CR 1.17(q) | |
| Fee from Extra Claims below Fee Paid | 126 | 180 | 126 | 180 | Submission of Information Disclosure Strnt | |
| Total Claims -20** = X = | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Independent -3** = X = = | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR | |
| Claims Multiple Dependent = | 149 | 740 | 249 | 370 | § 1.129(a)) For each additional invention to be examined (37 CFR | |
| Large Entity Small Entity | 179 | 740 | 279 | 370 | § 1.29(b)) Request for Continued Examination (RCE) | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | 169 | 900 | 169 | | Request for expedited examination of a design application | |
| 103 18 203 9 Claims in excess of 20 | Other f | fee (spec | cify) | | <u>αργιισαυσίι</u> | |
| 102 84 202 42 Independent claims in excess of 3 | Ouici I | ice (spec | ··· <i>›</i> / | | | |
| 104 280 204 140 Multiple dependent claim, if not paid | * Redu | iced by l | Basic F | iling Fe | e Paid SUBTOTAL (3) (\$) 1,240.00 | |
| 109 84 209 42 ** Reissue independent claims over | | - | | - | | |
| original patent 110 18 210 9 ** Reissue claims in excess of 20 and | | | | | CERTIFICATE OF MAILING | |
| over original patent | enffini | | | | this correspondence is being deposited with the United States Postal il in an envelope addressed to Commissioner for Patents, Washington | |
| SUBTOTAL (2) (S) | | horage | - 45 IIISI | LIASS INA | ——. | i, DC 20231, Oii |
| **or number previously paid, if greater; For Reissues, see above | Nome | | | | | |
| | Name: | | | | | |

| SUBMITTED BY | UBMITTED BY Complete (if applicable) | | | | | | | | |
|-------------------|--------------------------------------|-----------------------------------|--------|-----------|------------------|--|--|--|--|
| Name (Print/Type) | Eric J. Robinson | Registration No. (Attorney/Agent) | 38,285 | Telephone | (7030 790-9110 | | | | |
| Signature | 3 |) | | Date | December 5, 2001 | | | | |